

3-31-00

Express Mail Label No. EL080833805US

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
99-077

Total Pages in this Submission

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**METHOD AND APPARATUS FOR MANAGING SUBSCRIPTIONS**

and invented by:

1.) Jay S. WALKER, 2.) Magdalena MIK, 3.) James A. JORASCH, 4.) Andrew S. VAN LUNCHENE  
5.) Michiko KOBAYASHI, 6.) Geoffrey M. GELMAN, 7.) Russell P. SAMMON, 8.) Andrew P. GOLDEN  
9.) Adam STEVENSON

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 46 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets 15 (FIGS. 1-14)
- b. ☐ Informal                      Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*                      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class                      ☒ Express Mail *(Specify Label No.):* EL080833805US

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**(Large Entity)**

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**Accompanying Application Parts (Continued)**

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	63	- 20 =	43	x \$18.00	\$774.00
Indep. Claims	8	- 3 =	5	x \$78.00	\$390.00
Multipl Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose) Assignment Recordation Fee					\$40.00
TOTAL FILING FEE					\$1,894.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,894.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: March 30, 2000

Kurt M. Maschoff  
Attorney for Applicants



22927

PATENT TRADEMARK OFFICE

CC:

USPTO  
09/538805  
03/30/00

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): JAY S. WALKER et al

Docket No.

99-077

Serial No.

Not yet assigned

Filing Date

March 30, 2000

Examiner

n/a

Group Art Unit

n/a

Invention: **METHOD AND APPARATUS FOR MANAGING A SUBSCRIPTION**

I hereby certify that this **PATENT APPLICATION**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on **March 30, 2000**

*(Date)*

**Nalini P. Sahadeo**

*(Typed or Printed Name of Person Mailing Correspondence)*

*N. Sahadeo*

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JCS86 U.S. PTO

3-31-00

Attorney Docket No.: 99-077

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al. ) Customer No.: 22927

For: METHOD AND APPARATUS FOR ) Examiner Not Yet Assigned  
MANAGING SUBSCRIPTIONS )

Serial No.: Not Yet Assigned ) Group Art Unit: Not Yet Assigned

Filing Date: March 30, 2000 ) Docket No.: 99-077

## BOX PATENT APPLICATIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing By Express Mailing (37 CFR 1.10) No. EL080833805US;
2. Utility Patent Application Transmittal Letter (Large Entity);
3. Patent Application (46 pgs.);
4. Formal Drawings (Figs. 1-14, 15 pgs.)
5. Combined Declaration and Power of Attorney;
6. Assignment with Recordation Cover Sheet; and
7. Return Postcard.

The Commissioner is hereby authorized to charge \$1,894.00 to Deposit Account No. 50-0271 Order No. 99-077 for the fees required. The Commissioner is further authorized to charge any further required fees, or to credit any overpayment, to Deposit Account No. 50-0271 Order No. 99-077. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

Kurt M. Maschoff  
Attorney for Applicants  
PTO Reg. No. 38,235  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905

March 30, 2000  
Date

## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an Envelope No. EL080833805US with sufficient postage addressed to Box Patent Applications, Assistant Commissioner for Patents, Washington, D.C. 20231 on March 30, 2000.

Nalini P. Sahadeo

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Typed Name of Person Making Deposit

Signature

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